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October 20, 2004

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Art Unit 2183

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Continuation Patent Application

Application No. 10/700,520; Filed: November 5, 2003

For: High-Performance, Superscalar-Based Computer System With Out-

Of-Order Instruction Execution

Inventors:

NGUYEN et al.

Our Ref:

SP015.C18 (1397.028000I)

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Sixth Supplemental Information Disclosure Statement;
- 2. Form PTO-1449 listing **THIRTEEN** (13) documents (2 pages);
- 3. Copy of **THIRTEEN** (13) cited documents as listed on Form PTO-1449;
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents October 20, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Thomas C. Fiala

Attorney for Applicants Registration No. 43,610

TCF/mjg Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Confirmation No.: 5916

NGUYEN et al.

Art Unit: 2183

Examiner: To Be Assigned

Appl. No.: 10/700,520

Filed: November 5, 2003

Atty. Docket: SP015.C18 (1397.028000I)

For: High-Performance, Superscalar-

Based Computer System With Out-

Of-Order Instruction Execution

Sixth Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Sixth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Fifth Information Disclosure Statement filed on August 30, 2004 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this
 Information Disclosure Statement was cited in a communication from a foreign
 patent office in a counterpart application and this communication was not
 received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty
 days prior to the filing of this information disclosure statement.

- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
 - c. Attached is our PTO-2038 Credit Card Payment Form in the amount of

 ______ in payment of the fee under 37 C.F.R. § 1.17(p).
- 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.

Enclosed find our PTO-2038 Credit Card Payment Form in the amount of

	\$	in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
	a.	Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited
		in a communication from a foreign patent office in a counterpart foreign
		application not more than three months prior to the filing of this
		Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
	□ b.	Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of
		information in this Information Disclosure Statement was cited in a
		communication from a foreign patent office in a counterpart foreign
		application and, to my knowledge after making reasonable inquiry, was
		known to any individual designated in 37 C.F.R. § 1.56(c) more than
		three months prior to the filing of this Information Disclosure Statement.
		37 C.F.R. § 1.97(e)(2).
	The do	ocument(s) was/were cited in a search report by a foreign patent office in a
	counte	erpart foreign application. Submission of an English language version of
	the sea	arch report that indicates the degree of relevance found by the foreign office
	is pro	vided in satisfaction of the requirement for a concise explanation of
	releva	nce. 1138 OG 37, 38.
☐ 6.	A con	cise explanation of the relevance of the non-English language document(s)
	appear	rs below:
⊠ 7.	Conie	s of the documents are submitted herewith.

■ 8.	Copies of the documents were cited by or submitted to the Office in an IDS that
	complies with 37 C.F.R. § 1.98(a)-(c) in Application No, filed
	, which is relied upon for an earlier filing date under 35 U.S.C. §
	120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO 1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSPEIN & FOX P.L.L.C.

Thomas C. Fiala

Attorney for Applicants Registration No. 43,610

Date: 10/20/04

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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DOCUMENT NAME CLASS SUB-CLASS	FILING DAT
AA9 4,775,927 10/1988 Hester et al. AB9 5,197,136 03/1993 Kimura et al. AC9 5,179,530 01/1993 Genusov et al. AD9 5,317,720 05/1994 Stamm et al. AE9 6,647,485 11/2003 Nguyen et al. AF9 AG9 AH9 AJ9 AK9 FOREIGN PATENT DOCUMENTS EXAMINER INITIAL AL8 AM8 AN8 AN8 AO8 AP8 OTHER (Including Author, Title, Date, Pertinent Pages, etc.)	TILING DAT
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